

02/19/02



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Receipt

#10

Applicants: David de Graaf and Eric S. Lander

Application No.: 09/804,481

Group: 1645

Filed: March 12, 2001

Examiner: Not Assigned

For: Novel Small Nuclear RNA Vectors and Uses Therefor

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202	
on <u>2/26/02</u>	<u>Stacy McGarry</u>
Date	Signature
<u>STACY McGARRY</u>	
Typed or printed name of person signing certificate	

REQUEST FOR CORRECTED FILING RECEIPT
FOR UTILITY APPLICATION

Office of Initial Patent Examination

Customer Service Center

U.S. Patent and Trademark Office

P.O. Box 2327, Arlington, VA 22202

Sir:

We hereby request that the following items of information be corrected in the Filing Receipt for the subject application received in this office on February 12, 2002.

A copy of a recently filed Fee Transmittal is submitted herewith.

The errors and corrections appear below.


Filing Fee Received should read \$1438.00

Enclosed are copies of the Filing Receipt with changes noted in red and a copy of the Fee Transmittal filed November 5, 2001.

Pursuant to instructions in the February 29, 2000 O.G., we hereby request that the errors which are identified above be corrected in the captioned application to which this request for correction is directed. It is understood that the Patent Office will issue an automatically-generated, corrected Filing Receipt in this and, if applicable, any other affected applications.

Respectfully submitted,

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

By 

Linda M. Chinn

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Date: *February 26, 2002*



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/804,481

03/12/2001

1645

1488

2825.1023-
001

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31

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1738

CONFIRMATION NO. 1227

UPDATED FILING RECEIPT



OC00000007444577

021005

HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

530 VIRGINIA ROAD

P.O. BOX 9133

CONCORD, MA 01742-9133

Date Mailed: 02/08/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David de Graaf, Cambridge, MA;

Eric S. Lander, Cambridge, MA;

Assignment For Published Patent Application

Whitehead Institute for Biomedical Research, Cambridge, MA;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/188,304 03/10/2000

Foreign Applications

If Required, Foreign Filing License Granted 05/02/2001

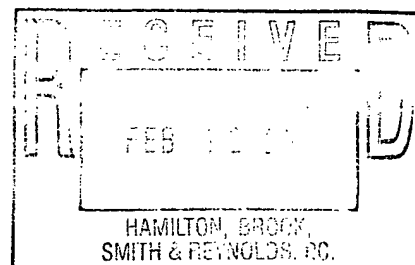
Projected Publication Date: 05/16/2002

Non-Publication Request: No

Early Publication Request: No

Title

Novel small nuclear RNA vectors and uses therefor



Preliminary Class

435

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Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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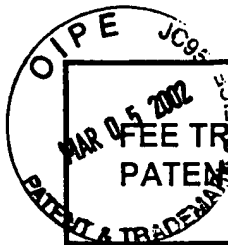
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HAMILTON, BROOK, SMITH & REYNOLDS, P.C.



Attorney Docket Number	2825.1023-001
Application Number	09/804,481
First Named Inventor	David de Graaf

COPY

CLAIM CALCULATION (includes any preliminary amendment)

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(c) or (j))	31 - 20* =	11	x \$ 18 =	\$ 198
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	8 - 3** =	5	x \$ 80 =	\$ 400
	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))			+ \$ 270 =	\$
				BASIC FEE (37 CFR 1.16(a) or (h))	\$ 710
				Total of above Calculations =	\$ 1308
	Reduction by 50% for filing by small entity (37 CFR 1.9, 1.27, 1.28) =				\$
				TOTAL =	\$ 1308
	Surcharge - Late Filing of Declaration or Filing Fees (37 C.F.R. 1.16(e)) =				\$ 130
	Petition for Extension of Time Fee (37 C.F.R. 1.17) =				\$ 1440
				Assignment Recordation Fee = (only when filed with application)	\$
	* Reissue claims in excess of 20 and over original patent ** Reissue independent claims over original patent			TOTAL =	\$ 2878

1. Small entity status:

- a. ☐ A small entity statement is enclosed.
- b. ☐ A small entity statement was filed in the prior non-provisional application and such status is still proper and desired.
- c. ☐ Is no longer claimed.

2. ☒ A general authorization is hereby granted to charge deposit account number 08-0380 for any fees required under 37 CFR 1.16 and 1.17 in order to maintain pendency of this application. A copy of this authorization is enclosed for accounting purposes.

3. ☒ A check is enclosed for \$2878.00. ☐ Please charge \$[] to Deposit Account No. 08-0380.

4. ☐ Other: _____

Signature	<i>Linda M. Chinn</i>	Date	<i>December 5, 2001</i>
Submitted by Typed or Printed Name	Linda M. Chinn	Reg. Number	31,240